

FILING INFORMATION FOR INSURERS LICENSED IN ONTARIO

Life Insurance Companies (without segregated funds):

| Incorporating jurisdiction | Forms and Reports required by Ontario | Electronic file | Forms & Instructions are available from | Deadline for filing is |
|-----------------------------------|--|--|--|--|
| Alberta | ASCII file | Yes | The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx | February 28, 2014 |
| Quebec | ASCII file | Yes | The “ Submitting Financial Returns ” page of he Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/insurers-financial-data-transmission.html | February 28, 2014 |
| Ontario | LIFE-1, Auditors’ Reports, Actuary’s Report, MCCSR, Dynamic Capital Adequacy Testing Report (DCAT) | Yes Signed copies in pdf format Plus excel file for LIFE-1 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) http://www.fSCO.gov.on.ca/en/insurance/annual-returns/Pages/default.aspx | February 28, 2014 DCAT due September 30, 2014 |
| | ASCII file | Yes | | February 28, 2014 |
| New Brunswick | ASCII file | Yes | The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx | February 28, 2014 |

Property and Casualty Insurance Companies

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|--------------|--|---|--|--|
| Alberta | ASCII file | Yes | The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx | February 28, 2014 |
| Quebec | ASCII file | Yes | The “ Submitting Financial Returns ” page of he Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/insurers-financial-data-transmission.html | February 28, 2014 |
| Ontario | P&C-1, Auditors’ Reports, Actuary’s Report, Dynamic Capital Adequacy Testing Report (DCAT) | Yes Signed copies in pdf format Plus excel file for P&C-1 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) http://www.fSCO.gov.on.ca/en/insurance/annual-returns/Pages/default.aspx | February 28, 2014 DCAT due September 30, 2014 |
| | ASCII file | Yes | | February 28, 2014 |
| Saskatchewan | ASCII file | Yes | The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website : http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx | February 28, 2014 |

Insurance Companies writing both Life and Property and Casualty Insurance

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|--------|------------------------------------|-----|--|-------------------|
| Quebec | ASCII file (for both Life and P&C) | Yes | The “ Submitting Financial Returns ” page of he Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/insurers-financial-data-transmission.html | February 28, 2014 |
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Fraternal Societies:

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|---------|---|---|--|-------------------|
| Ontario | OSFI 56, Auditors’ Reports, Actuary’s Report, MCCSR | Yes Signed copies in pdf format Plus excel file for OSFI 56 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) http://www.fSCO.gov.on.ca/en/insurance/annual-returns/Pages/default.aspx | February 28, 2014 |
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|--|------------|-----|--|-------------------|
| | ASCII file | Yes | | February 28, 2014 |
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Reciprocal Exchanges:

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|---------|--|---|---|-------------------|
| Ontario | P&C-1, Auditors' Reports, Actuary's Report | Yes Signed copies in pdf format Plus excel file for P&C-1 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) | February 28, 2014 |
| | ASCII file | Yes | | February 28, 2014 |

Prepays:

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|--------------------------|------------------------|-----|---|-------------------|
| Quebec and New Brunswick | S15, Auditors' Reports | Yes | Annual Registration Renewals are provided by FSCO | February 28, 2014 |
|--------------------------|------------------------|-----|---|-------------------|

Life Reinsurer:

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|--------|------------|-----|--|----------------|
| Quebec | ASCII file | Yes | The "Submitting Financial Returns" page of the Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/insurers-financial-data-transmission.html | April 15, 2014 |
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Property and Casualty Reinsurer:

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|---------|--|--|---|---|
| Ontario | P&C-1, Auditors' Reports, ASCII file, Dynamic Capital Adequacy Testing Report (DCAT) | Signed copies in pdf format Plus excel file for P&C-1 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) | April 15, 2014 DCAT due September 30, 2014 |
| | ASCII file | Yes | | February 28, 2014 |

Reinsurer licensed for both life and property and casualty:

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|---------|--|---|---|---|
| Ontario | P&C-1, LIFE-1, Auditors' Reports, Actuary's Report, MCSR, Dynamic Capital Adequacy Testing Report (DCAT) | Signed copies in pdf format Plus excel file for P&C-1 and LIFE-1 | The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) | April 15, 2014 DCAT due September 30, 2014 |
| | ASCII file | Yes | | February 28, 2014 |