

MINISTER'S SCHEDULE OF REQUIRED FEES

The following links will take you to the fees made under the identified legislation:

- Financial Services Commission of Ontario Act
- Co-operative Corporations Act
- Credit Unions and Caisses Populaires Act
- Insurance Act
- Loan and Trust Corporations Act
- Mortgage Brokers Act
- Pension Benefits Act

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Financial Services Commission of Ontario Act, 1997*

Fees Made under the <i>Financial Services Commission of Ontario Act, 1997</i>, subsection 27(1):	
DESCRIPTION	FEE
1) Photocopies of documents except where a fee is specially provided under another Schedule	\$0.50 per page (\$5.00 minimum)
2) Certificates issued by the Superintendent - section 16	\$25.00
3) Certificates issued by the Financial Services Tribunal - section 18	\$25.00

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Co-operative Corporations Act*.

Fees made under the <i>Co-operative Corporations Act</i>, subsection 187 (1):	
DESCRIPTION	FEE
1) Name reservation - section 12(1)	\$15
2) Restated articles of incorporation - section 155(3)	\$100
3) Amendments of articles - section 154(1)	\$100
4) Certificate for continuation - section 158(1)	\$200
5) Application for a certificate of amendment to convert to OBCA corporation - section 152(1)	\$200
6) Document searches - section 181(1)	\$5
7) Certification of copies or microfiche copies - section 181(2)	\$10
8) Certificate in respect of a co-op - section 6(1)	\$50
9) Offering statement (if value of securities is more than \$50,000) - section 34(1)	\$50
10) Cost of incorporating a new co-operative - section 4(1)	\$335 or \$155 if non profit organization
11) Orders, a) - section 118(3) for removal of records b) - section 118(3) for rescinding an order for removal of records c) - section 167(3) to revive co-operative	\$200 \$200 \$200
12) Authorization by Minister to transfer out of jurisdiction section 159	\$200

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees, made under the *Credit Unions and Caisses Populaires Act, 1994*.

Fees, made under the <i>Credit Unions and Caisses Populaires Act, 1994</i>, section 321.6:	
DESCRIPTION	FEE
1) Applying for the incorporation of a new credit union/caisse populaire - section 15(1)	\$2,500
2) Approval of the articles of incorporation - section 16(1)	\$2,500
3) Receipts for offering statements, based on face amount - section 77(1)	\$2,500+50 basis points - maximum \$25,000
4) Receipts for statement of material change, based on face amount - section 80(1)	\$1,500+25 basis points
5) Approval for capital variation - section 86	\$5,000
6) Application by credit union/caisse populaire to be released from supervision: a) supported by DICO - section 285(4) b) not supported by DICO - section 285(4)	No Charge \$2,500
7) Approval for a subsidiary - section 200	\$2,500
8) Approval for amalgamation - section 309	\$5,000
9) Approval for application for purchase or sale of assets - section 203	\$1,000
10) Application to change from Class 1 credit union to Class 2 credit union - section 1.1 of Reg 76/95.	\$2,500
11) Applications: a) Deeming Affiliation - section 5 b) Bond of Association - section 30 c) Stated Capital Reduction - section 72 d) Approval to Deal in Goods or Trade - section 174 e) Variation from A Prescribed Lending Limit - section 195	\$500 \$500 \$500 \$500 \$500
12) Applications: a) Language and Form of Name - section 19 b) Restrictions re Names - section 21 c) Reserving a Name - section 22 d) Payment to Withdrawing or Expelled Member - section 48(6) e) Approval of Holding Own Shares - section 61 f) Withdrawals by Negotiable Instrument - section 182 g) Borrowing Power - section 183 h) Loans to Members - section 194	\$250 \$250 \$250 \$250 \$250 \$250 \$250 \$250
13) Examining and passing on applications or documents not specifically referred to in the Fee Schedule	\$500
14) Certificates of Registration	\$50

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Insurance Act*.

Fees made under the <i>Insurance Act</i>, section 121.1:	
DESCRIPTION	FEE
1) License a new insurance company where Ontario is the primary regulator (ie for a provincially incorporated company) - section 40(1)	\$4,000
2) Application for a section 110 receipt	\$200
3) Annual examination fee for Ontario incorporated life insurance companies. - section 443	\$5,000 per company plus balance of OSFI's cost, pro-rated on gross premium revenue of the companies
4) Change of Name for Agents' Licence - section 393	\$25
5) Agent and adjuster licensing fees: a) Agents (all) - section 393(3), (6), (11) b) Agent companies - section 400 c) Agent partnerships - section 399(1) d) Adjusters - section 397(1) e) An Adjuster that is a proprietor, partnership, or a corporation - sections 399(1), 400(1)	\$150 per 2-year licence \$400 per 2-year licence \$200 per 2-year licence \$75 per 1-year licence \$200 per 1-year licence
6) Transfers - section 422	\$50 per transfer
7) Application for arbitration - section 282(1)	\$100 per arbitration application
8) Appeal of an order of an arbitrator, for Notice of Appeal - section 283	\$250 per appeal application
9) Duplicate licence for Agents - section 393(1)	\$25 per copy
10) Certificates of Authority (other Provinces)	\$25 per certificate
11) Certificate or Superintendent's letter attesting to the Status of a Licence	\$25 per certificate or letter
12) Certificate issued by the Superintendent under section 25(2) of the Act	\$25 per certificate
13) Application to vary or revoke an order - section 284	\$250 per application
14) Photocopying: rate manuals per category of automobile insurance	\$100

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Loan and Trust Corporations Act*.

Fees made under the <i>Loan and Trust Corporations Act</i>, subsection 223.1(2):	
DESCRIPTION	FEE
1) Application to approve a restricted party transaction - section 145(1)	\$2,500
2) Supplementary letters patent to modify or alter the share structure of the corporation - section 10(3)(a)to(h)	\$1,000
3) The fee for processing an application for, a) initial registry of a corporation - section 31(5) b) changing a loan corporation to a trust corporation or vice versa - section 31(2) c) changing the terms, conditions and restrictions of registration - section 31(3)	\$2,500 \$1,000 \$1,000
4) Revival of registration after dissolution - section 15(3)	\$1,000
5) Processing an application for an increase in borrowing multiple - section 157(3)	\$1,000
6) Certificate issued by the Superintendent other than the certificate respecting the registration of a corporation	\$25
7) Examining and passing on applications or documents not specifically referred to in the Fee Schedule	\$1,000
8) Application to obtain consent of Superintendent to the transfer of shares where the transfer does not result in a change of control - section 63	\$250
9) Filing and processing an application for supplementary letters patent - section 10(5)	\$500
10) Application for letters patent of incorporation for a loan corporation - section 8	\$5,000
11) Issuance of letters patent of incorporation for a loan corporation - section 9	\$5,000
12) Supplementary letters patent, a) to change a corporation's name - section 10(1)(a) b) to continue a provincial loan corporation as a trust corporation - section 10(1)(b) c) to continue a provincial trust corporation as a loan corporation - section 10(1)(c) d) to change the municipality or township in which the principal place of business of the corporation is to be location - section 10(1)(d) e) to amalgamate two or more corporations and to continue them as one corporation - section 10(2)	\$2,500 \$2,500 \$2,500 \$2,500 \$2,500
13) Application to obtain consent of Superintendent to the transfer of shares where such transfer results in the change of control of the corporation - section 63	\$2,500
14) Examining the Loan or Trust Register or the public file of a corporation - section 139(2)	\$20 per register or file

APPENDIX A

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Mortgage Brokers Act* subsection 34(2)

Application fee for registration or renewal of registration for all mortgage brokers - section 4:

The application fee for registration or renewal of registration as a mortgage broker is the total of,

(a) if the applicant is an individual, the amount set out in column 2 of the table below across from the month in which the registration or renewal is effective;

(b) if the applicant is a corporation, the amount set out in column 2 of the table below across from the month in which the registration or renewal is effective multiplied by the number of persons who are active officers or directors of the corporation; or

(c) if the applicant is a partnership, association, syndicate or organization of individuals, the amount set out in column 2 of the table below across from the month in which the registration or renewal is effective multiplied by the number of persons who are members of the partnership, association, syndicate or organization of individuals;

plus the amount equal to the amount set out in column 2 of the table below across from the month in which registration or renewal is effective multiplied by the number of persons for whom the applicant is required to give notice to the Superintendent under section 3(9) of Reg. 798.

Column 1	Column 2
Month of Registration or Renewal	Fee
August 2007	\$252
September 2007	\$229
October 2007	\$206
November 2007	\$183
December 2007	\$160
January 2008	\$138
February 2008	\$115
March 2008	\$92
April 2008	\$69
May 2008	\$46
June 2008	\$23

Where a person becomes authorized to arrange or deal in mortgages on behalf of the applicant between renewal periods, the amount payable in respect of that person is equal to the amount set out in column 2 of the table above across from the month in which the authorization occurs.

MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Pension Benefits Act*.

Fees made under the <i>Pension Benefits Act</i>, section 113.1:	
DESCRIPTION	FEE
1) Fee to produce documents/labels providing names, addresses, and other pieces of information from database.	\$125 per request
2) Fee for costs and expenses incurred by the Superintendent in connection with the investigations, preparation and issuance of a Notice of Proposal to require a pension plan to be partially or fully wound up for any reason under subsection 69(1) except clause 69(1)(c). The fee is payable by the employer, or in the case of a MEPP, by the administrator and is payable only if an Order requiring a full or partial wind up of the pension plan is issued by the Superintendent or made or directed by the Financial Services Tribunal.	\$2,500
3) Fee for application for registration of a pension plan - section 9(2)	\$250
4) Fee for consent to the commutation or surrender of a prescribed retirement savings arrangement by the Superintendent of Financial Services under subsection 67(5) of the Act.	\$0